Section 1 Metrics: Community Adoption

	n by associations, utilities, r		,		•	•	•
	he local/tribal jurisdictior departments and/or age		MS as the all-ha	zards, incident n	nanagement sys	stem for implementation	by all jurisdictional-
	[] Yes [] No						
•	If "Yes," what legal autho	ority was used to adop	ot NIMS: [Check	all that apply.]			
	[] Executive Order[] Proclamation[] Resolution[] Legislation[] Other legal author	ity, explain: [1				
	If "No," which of the follo	wing impedes adoption	on: [Check all t	hat apply.]			
2 5-1-0	[] Plans [] Policy [] Personnel [] Funding [] Exercise [] Education [] Other impediment	<u> </u>		wath a da tha la a		tion boo manatad and a	
	ach of the following, indi- ition of NIMS: [Check all t		and with which	methods the loc	ai/tribai jurisdid	ction has promoted and e	encouraged the
		Meetings (e.g. committees, conferences, working groups, etc.)	Mailings (e.g. newsletters, letters, etc.)	Email or other electronic means (e.g., websites)	Exercises (e.g., drills, tabletop, full-scale)	Other methods used to promote and encourage the adoption of NIMS	Not applicable
•	Associations (e.g., professional organization or trade associations)	[]	[]	[]	[]	[]	[]

Critical Infrastructure	[]	[]	[]	[]	[]	[]
 Utilities (e.g., transportation, electric, water) 	[]	[]	[]	[]	[]	[]
 Private sector incident management and response organizations 	[]	[]	[]	[]	[]	[]
 Non-governmental organizations (NGOs) 	[]	[]	[]	[]	[]	[]
Describe efforts to promo	ote and encourage NI	MS adoption: []			
 Which of the following im 	pedes the promotion	n and encourage	ment of NIMS ad	loption: [Check	call that apply.]	
[] Policy [] Personnel [] Funding [] Training [] Exercise [] Education [] Other impediment	s, explain: [1				
W FOR FY07 Compliance Requipmentation.	uirement: Designate a	a single point of co	ontact within the I	ocal/tribal jurisdi	ction to serve as the princ	ipal coordinator for NIN
Has the local/tribal jurisdiction de	esignated a single poin	t of contact with the	he authority to se	rve as the princip	oal coordinator for overall	NIMS implementation?
[] Yes [] No						
If "Yes," who has been des	ignated?	(Na	ame, Title, Email	Address, Phone	Number, and Mailing Add	Iress)
 What was the date 	of appointment?					
■ If "No," when will the local/t	ribal jurisdiction desigr	nate a single poin	t of contact for NI	MS implementat	ion? (D	ate)
Which of the form	llowing impedes desig	nating a single po	oint of contact for	NIMS implemen	tation: [Check all that app	oly.]
[] Plans [] Policy [] Personne	le					

[] Funding [] Training [] Education [] Other impediments, explain: [1	
[] Other impediments, explain. [J	

Section 2 Metrics:	Command and	Management
---------------------------	--------------------	------------

ICS orga	nizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action and Common Communications Plans, as appropriate.
1. Does t	ne local/tribal jurisdiction implement NIMS-prescribed ICS for all-hazards incident response?
] Yes] No
• If	"No," which of the following impedes implementation: [Check all that apply.]
	[] Plans [] Personnel [] Funding [] Education [] Training [] Exercise [] Other impediments, explain: []
2. Does t	ne local/tribal jurisdiction implement NIMS-prescribed ICS for managing preplanned events?
] Yes] No
• If	"No," which of the following impedes implementation: [Check all that apply.]
	[] Plans [] Personnel [] Funding [] Education [] Training [] Other impediments, explain: []

3. Does ICS implementation include the consistent application of incident action planning?	
[]Yes []No	
 If "No," which of the following impedes the consistent application of incident action planning: [Check all that apply.] 	
 [] Plans [] Personnel [] Funding [] Education [] Training [] Exercise [] Other impediments, explain: [] 	
4. Does ICS implementation include the consistent application of common communications plans?	
[] Yes [] No	
 If "No," which of the following impedes the consistent application of common communication plans: [Check all that apply.] 	
 [] Plans [] Personnel [] Funding [] Education [] Training [] Exercise [] Other impediments, explain: [] 	

. Do incident action plans incorporate the following ICS concepts:		
	Yes	No
Designation of measurable objectives	[]	[]
■ If "No," explain: [
Designation of command staff positions	[]	[]
■ If "No," explain: [
Manageable span of control	[]	[]
■ If "No," explain: [
Clear chain of command	[]	[]
■ If "No," explain: [
Use of plain language	[]	[]
■ If "No," explain: [

Do Common Communication Plans address:				
			Yes	No
Utilization of communications equipment a	nd facilities assigned to	o the incident	[]	[]
■ If "No," explain: [1			
Installation of and testing of all communica	tions equipment		[]	[]
■ If "No," explain: [1			
Supervision and operation of the incident of	ommunications		[]	[]
■ If "No," explain: [1			
Distribution and recovery of communication personnel	ns equipment assigned	to incident	[]	[]
■ If "No," explain: [1			
Maintenance and repair of communications	s equipment on site		[]	[]
■ If "No," explain: []		,	
mpliance Requirement: Multi-agency Coo- use of integrated multi-agency coordination Centers, local Emergency Operations Cent Which of the following does the state/territory	n systems, i.e develo ters (EOCs), and the st	p and maintain of tate/territorial EC	connectivity capability be DC.	tween local Incident Comm
	Yes	No		
Framework (e.g., organizational capacities, state EOPs, state disaster plans)	[1]	[]		
■ If "No," explain: []			
Training Curriculum	[]	[]		

■ If "No," explain: []			
Exercises	[]	[]		
■ If "No," explain: []			
Does the local/tribal jurisdiction designate or u	tilize a MACS for:			
		Ye	es	No
Preplanned Events (Recurring/Special)]]	[]
 If "Yes," explain how a MACS has b 	een successfully utilize	ed to manage preplan	ned events: [1
Incident-specific Hazards]	1	[]
■ If "Yes," explain how a MACS has b	een successfully utilize	ed to manage incident	-specific hazard	ds: []
No-notice Events]]	[]
 If "Yes," explain how a MACS has b 	een successfully utilize	ed to manage no-notic	e events: [1
Specific Events]]	[]
■ If "Yes," explain how a MACS has b	een successfully utilize	ed to manage specific	events: [1
 Which of the following impedes establish [] Plans [] Policy [] Training [] Organization [] Personnel [] Funding [] Other impediments, explain: [ing a MACS: [Check a	all that apply.]		
9. Which of the following primary functions is cod [] Situation assessment	rdinated by a local/trib	oal MACS: [Check all t	hat apply.]	

 [] Critical resource acquisition and allocation [] Local/tribal, state/territory, and Federal disaster support [] Coordination with elected and appointed officials [] Coordination of summary information [] Incident priority determination [] Other functions that local/tribal MACS provide, explain: []
Compliance Requirement: Public Information System: Implement processes, procedures, and/or plans to communicate timely, accurate information to the public during an incident through a Joint Information System and Joint Information Center.
10. Does the local/tribal jurisdictional Emergency Operations Plan (EOP) include processes and procedures for utilizing a Public Information System (PIS), including establishment of a Joint Information System (JIS) and a Joint Information Center (JIC) as incidents dictate?
[] Yes [] No
If "Yes," how many individuals are trained in utilizing the PIS [] out of a total of []?
■ If "No," explain: []
 Which of the following impedes the inclusion of processes and procedures for utilizing a PIS into the local/tribal jurisdictional EOP: [Check all that apply.]
[] Plans [] Personnel [] Funding [] Education [] Training
[] Other impediments, explain: []
NEW FOR FY07 Compliance Requirement: Ensure that the Public Information System can gather, verify, coordinate, and disseminate information during an incident.
44. During insidents, see the level/wikel Dublic Information Custom gether usuity, accordingte, and discomingte the following types of information. [Check all that

11. During incidents, can the local/tribal Public Information System gather, verify, coordinate, and disseminate the following types of information: [Check all that apply.]

	Gather	Verify	Coordinate	Disseminate
Critical Emergency Information	[]	[]	[]	[]
Crisis Communication	[]	[]	[]	[]
Public Affairs	[]	[]	[]	[]
Other types of information	[]	[]	[]	[]

Section 3 Metrics: Preparedness Planning

	ance Requirement: Establish the community's NIMS baseline against the FY05 and FY06 implementation requirements.
1. Has t	the local/tribal jurisdiction established a NIMS baseline for FY05 and/or FY06?
	[] Yes [] No
•	If "Yes," what tool was used?
	[] NIMCAST [] Other, explain: []
•	If "No," explain: []
	Which of the following impedes establishing a NIMS baseline: [Check all that apply.]
	 [] Plans [] Personnel [] Funding [] Education [] Training [] Other impediments, explain: []
Complia jurisdicti	iance Requirement: Develop and implement a system to coordinate all Federal preparedness funding to implement the NIMS across the local/tribal tions.
2. Has t	the local/tribal jurisdiction utilized Federal preparedness funds to improve NIMS preparedness and response activities?
	[]Yes
	[] No
	If "Yes," indicate the Federal preparedness funding sources used?
•	If "Yes," indicate the Federal preparedness funding sources used? [] DHS, specify funding sources: []
•	If "Yes," indicate the Federal preparedness funding sources used? [] DHS, specify funding sources: [] [] Other Federal preparedness funding

|--|--|

Compliance Requirement: Revise and update plans and SOPs to incorporate NIMS components, principles, and policies, to include planning, training, response, exercises, equipment, evaluation, and corrective actions.

3. Indicate in the table below the number of local/tribal jurisdictional departments and/or agencies with an emergency management or emergency response function that have incorporated NIMS into the following activities:

	Number of d and/or agenci incorporat	ies that have	departme	imber of nts and/or ncies
Planning	[]	[]
Training Programs	[]]]
Response Activities	[]	[]
Exercise Program	[]]]
Equipment Acquisition	[]]]
Evaluations	[]	[]
Corrective Actions	[]	[]

4. To what extent have the following NIMS concepts and principles been incorporated into incident management policies and SOPs/SOGs?

	Not Incorporated	Partially Incorporated	Fully Incorporated
Flexibility	[]	[]	[]
Scalability	[]	[]	[]
Standardization	[]	[]	[]
Interoperability & Compatibility	[]	[]	[]

Compliance Requirement: Participate in and promote intrastate and interagency mutual aid agreements, to include agreements with the private sector and non-governmental organizations.

5. The	local/tribal jurisdiction participates in the following types of mutual aid agreements: [Check all that apply.]
	[] Intrastate [] Interagency [] None
•	If "None," explain: []
	 Which of the following impedes participation in intrastate and interagency mutual aid agreements: [Check all that apply.]
	 [] Plans [] Policy [] Training [] Organization [] Personnel [] Funding [] Other impediments, explain: []
6. Wh	at actions have been taken by the local/tribal jurisdiction to promote intrastate and interagency mutual aid agreements: [Check all that apply.] [] Developed working groups and/or committees [] Signed Memorandum of Understanding/Memorandum of Agreement [] Engaged in regular correspondence via phone/email [] Developed mutual aid templates [] Other actions taken by the local/tribal jurisdiction to promote intrastate and interagency mutual aid agreements, explain: [
-	Which of the following impedes promoting intrastate and interagency mutual aid agreements: [Check all that apply.]
	[] Plans [] Policy [] Training [] Organization [] Personnel [] Funding
	[] Other impediments, explain: []

7. What actions have been taken by the local/tribal jurisdiction to promote mutual aid agreements with private sector and non-governmental organizations: [Check all that apply.]						
 [] Developed working groups and/or committees [] Signed Memorandum of Understanding/Memorandum of Agreement [] Engaged in regular correspondence via phone/email [] Developed mutual aid templates [] Other actions taken by the local/tribal jurisdiction to promote mutual aid agreements with private sector and non-governmental organizations, explain [] No actions have been taken, explain: [] 						
8. I	8. Indicate the types of mutual aid agreements that the local/tribal jurisdiction has trained and/or exercised:					
		Interstate	Intrastate	Interagency		
	Training	[]	[]	[]		
	Exercises	[]	[]	[]		

Section 4 Metrics: Preparedness Training

Compliance Requirement: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training.

1. In the following table, indicate the number of people trained in the following courses (out of the total number of people identified) the following training: [Gray boxes are not applicable.]

	Entry-level first responders	First line supervisors	Emergency management and response personnel in middle management	Emergency management and response personnel in command and general staff	Personnel trained as trainers
IS-700	[]	[]	[]	[]	
IS-800			[]	[]	
ICS-100	[]	[]	[]	[]	[]
ICS-200	[]	[]	[]	[]	[]
ICS-300			[]	[]	[]
ICS-400			[]	[]	[]

2. Does the local/tribal jurisdiction document training status of personnel from:

	Yes	No	Not applicable
Local jurisdictions	[]	[]	
Tribal jurisdictions	[]	[]	[]
Other emergency response organizations	[]	[]	

Sectio	n 5 Metr	ics: Pre _l	paredness Exercises
Compliance Requirement: Incorporate NIMS	/ICS into all local/	tribal and regional	training and exercises.
1. Into which of the following activities are	NIMS/ICS incorp	orated:	
	Training	Exercises	
Local Level	[]	[]	
Tribal Level	[]	[]	
Sub-State Regional Level	[]	[]	
 Which of the following impedes income 	rporating NIMS/	ICS into local/trib	pal and regional training and exercises: [Check all that apply.]
[] Plans[] Personnel[] Funding[] Education[] Training[] Other impediments, explain: 	I	1	
Compliance Requirement: Participate in an a jurisdictions.	III-hazard exercise	e program based o	on NIMS that involves responders from multiple disciplines and multiple
 [/] Drills [/] Tabletop Exercises (TTX) [/] Functional Exercises (FE) [/] Full-Scale Exercises (FSE) [/] Other types of exercises in w 	hich NIMS has b	een implemented	
 Which of the following NIMS compose [] Preparedness [] Communication and Informate [] Resource Management [] Command and Management [] Mutual Aid [] Interoperability [] Participation of non-governm [] Other NIMS-related component 	ion Managemen	ŧ	

	How have these	exercises been ev	aluated for effective	eness of NIMS imple	mentation during ea	ch exercise? Exp	lain: [
•	Which of the foll	lowing impedes ev	aluating NIMS imple	ementation during e	xercises: [Check all	that apply.]	
	[] Plans [] Personne [] Funding [] Education [] Training [] Other imp		:[]				
3. Do	•	risdiction have a co	mprehensive exercise	e program?			
	[] Yes [] No						
•	If "No," which of the	he following impede	s developing a comp	rehensive exercise pr	ogram: [Check all that	apply.]	
	[] Plans [] Personnel [] Funding [] Education [] Training [] Other imp		1				
4. Indi	cate the number of	of functional exerci	ses that involve a m	nulti-disciplinary and	d/or multi-jurisdictio	nal component:	
		Number of Exercises					
	ulti-disciplinary	[]					
	ulti- risdictional	[]					
•	Of these, in which all that apply.]	ch of the following	types of exercises I	has NIMS been impl	emented as the all-ha	azards, incident n	nanagement system: [Check
		Drills	Tabletop Exercises	Functional Exercises	Full-Scale Exercises	Other	
	ulti- sciplinary	[]	[]	[]	[]	[]	

	Multi- jurisdictional	[]	[]	[]	[]	[]	
-	Explain how th	ese exercises evalu	ate the effectivenes	s of NIMS implemen	tation during each	exercise: []
	What number of	of exercises used HS	SEEP methodology?	Out of total number	er of exercises) [/]	
	If the local/tribation	al jurisdiction does I	not participate in mu	ulti-jurisdictional and	d/or multi-disciplina	ary, all-hazards exerc	cises, explain: [
•	Which of the fo	llowing disciplines	are included in mult	i-disciplinary exerci	ses that evaluate N	IMS implementation	: [Check all that apply.]
	[] Fire/Haz [] Emerge [] Law Ent [] Search a [] Animal a [] Incident [] Other di	and Medical cardous Materials (Hency Medical Service forcement and Rescue Control and Veterina Management sciplines, explain: [s (EMS) ary Health	NIMS in training and	d exercises: [Check	all that apply.]	
	[] Plans [] Policy [] Equipm [] Funding [] Educati [] Other in	l	:[]:				
Com	[] Policy [] Equipm [] Funding [] Education [] Other in	l on npediments, explain		eparedness and respo	onse plans and proce	edures.	
	[] Policy [] Equipm [] Funding [] Educati [] Other in	on npediments, explain ent: Incorporate corr tional departments an	ective actions into pre			edures. ed following exercises	s?

6. Local/tribal jurisdictions incorporate corrective action plans, after action reports, and/or lessons learned into which of the following: [Check all that apply.]	
 [] Preparedness plans [] Response plans [] Response procedures [] Recovery plans and procedures [] None, explain: [] 	
 Which of the following impedes incorporating corrective action plans, after action reports, and/or lessons learned: [Check all that apply.] 	
[] Plans [] Policy [] Personnel [] Funding [] Education [] Training [] Other impediments, explain: []	
7. Does the local/tribal jurisdiction utilize improvement plans and after action reports to maintain a Corrective Action Program/Remedial Action Management Program (RAMP)?	
[] Yes [] No	
■ If "No," explain: []	

Section 6 Metrics: Resource Management
Compliance Requirement: Inventory community response assets to conform to homeland security resource typing standards.
1. Has the local/tribal jurisdiction inventoried its response resources?
[] Yes [] No
If "No," which of the following impedes inventorying response assets: [Check all that apply.]
 [] Plans [] Policy [] Personnel [] Equipment [] Training [] Funding [] Education [] Other impediments, explain: []
2. Has the local/tribal jurisdiction developed a response asset inventory?
[] Yes [] No
 If "No," which of the following impedes developing a response asset inventory: [Check all that apply.]
[] Plans [] Policy [] Personnel [] Equipment [] Training [] Funding [] Education [] Other impediments, explain: []
3. Does the local/tribal jurisdiction use resource typing for response assets?
[]Yes []No
If "Yes," has the local/tribal jurisdiction typed its response assets to conform to DHS standards, as identified by the NIC?

	[] Yes [] No						
•	If "No," explain: [1					
NEW FOR FY07 Compliance Requirement: Validate that the inventory of response assets conforms to homeland security resource typing standards and provide to the state/territory as required.							
4. Has th	ne local/tribal jurisdiction va	lidated the inventory of r	esponse assets?				
	[]Yes []No						
	If "Yes," does the inven	tory conform to homelan	d security resource	typing standards?			
[] Yes [] No							
	If "No," does the local/to	ribal jurisdiction have pla	ns to:				
			Yes	No			
	Build or adopt a database to serve as a response asset inventory			[]	[]		
	Identify resources for a response asset inventory			[]	[]		
Compile data and expand the response asset inventory			rentory	[]	[]		
Compliance Requirement: To the extent permissible by law, ensure that relevant national standards and guidance to achieve equipment, communication and data interoperability are incorporated into local/tribal acquisition programs.							
5. To wh	nat extent does the local/t	ribal jurisdiction requi	re its acquisitions	and purchases to	adopt interoperab	le standards:	
			T =				
		Not Required	Partially Requir	-	•		
	ipment	[]	[]	[]			
_	nmunications	[]	[]	[]			
Data	a	[]	[]	[]			
 Which of the following impedes acquisitions and purchases from adopting interoperable standards: [Check all that apply.] 							
[] Plans [] Policy [] Personnel							
David 00 x 1 00							

[] Equipment [] Training [] Exercise [] Funding [] Education [] Other impediments, explain: []					
6. To what extent does the local/tribal jurisdiction's current acquisition program incorporate the Standard Equipment List (SEL) and other Federal equipment standards when purchasing interoperable equipment:					
[] Not Incorporated [] Partially Incorporated [] Fully Incorporated					
NEW FOR FY07 Compliance Requirement: Utilize the state/territory response asset inventory for Intra-State Mutual Aid requests, exercises, and actual events.					
7. Does the local/tribal jurisdiction utilize a response asset inventory for:					
	Yes	No			
Mutual Aid Requests	[]	[]			
■ If "No," explain: [
Exercises	[]	[]			
■ If "No," explain: [1				
Actual Events	[]	[]			
■ If "No," explain: [1				

Section 7 Metrics: Communication and Information Management

Compliance Requirement: Apply standardized and consistent terminology, including the establishment of plain language communications standards across the public safety sector.

1. During a multi-jurisdictional and/or multi-agency event, to what extent does the local/tribal jurisdiction implement the following communication standards:

	Not Implemented	Partially Implemented	Fully Implemented
Plain Language	[]	[]	[]
Standardized terminology in response operations	[]	[]	[]
Standardized terminology in publications	[]	[]	[]

• Which of the following impedes implementing communication standards: [Check all that apply.]

[] Plans
[] Policy
[] Personnel
[] Equipment
[] Training
Γ] Exercise

[] Funding [] Education

[] Other impediments, explain: [

]

NEW FOR FY07 Compliance Requirement: Develop systems, tools, and processes to present consistent and accurate information to incident managers at all levels (e.g., common operating picture).

2. Which of the following has the local/tribal jurisdiction developed to present consistent and accurate information during an incident:

	Systems	Tools	Processes
Communications	[]	[]	[]
Intelligence	[]	[]	[]
Information Management	[]	[]	[]
Information Sharing	[]	[]	[]
Resource Status	[]	[]	[]